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JC803 U.S. PTO

**UTILITY PATENT APPLICATION TRANSMITTAL LETTER**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No.  
PF-2695**To the Assistant Commissioner for Patents:**

Transmitted herewith for filing is the patent application of:

Hidemitsu AOKI and Hiroaki TOMIMORI

corresponding to Japanese application No. 11-329324, filed  
November 19, 1999,**entitled:** IMPROVED SEMICONDUCTOR WAFER SURFACE AND METHOD OF  
TREATING A SEMICONDUCTOR WAFER SURFACE**Enclosed are:**

- |                                     |   |
|-------------------------------------|---|
| <input checked="" type="checkbox"/> | 50 pages of specification.  |
| <input checked="" type="checkbox"/> | 11 sheets of formal drawings.   |
| <input checked="" type="checkbox"/> | a newly-executed declaration of the inventors.  |
| <input type="checkbox"/>            | a copy of an executed declaration of the inventor from prior application<br>Serial No. , filed .  |
| <input type="checkbox"/>            | incorporation by reference. The entire disclosure of the prior application,<br>from which a copy of the oath or declaration is supplied as indicated in the<br>preceding box, is considered as being part of the disclosure of the accom-<br>panying application and is hereby incorporated by reference therein. |
| <input checked="" type="checkbox"/> | an assignment of the invention to NEC Corporation, including assignment<br>cover sheet.   |
| <input type="checkbox"/>            | Information Disclosure Statement with Form PTO-1449.  |
| <input type="checkbox"/>            | copies of the Information Disclosure Statement citations.   |
| <input type="checkbox"/>            | preliminary amendment.  |
| <input checked="" type="checkbox"/> | return receipt postcard (MPEP 503), specifically itemized.  |
| <input type="checkbox"/>            | applicant claims small entity status under 37 CFR 1.27.   |
| <input type="checkbox"/>            | a certified copy of the Priority Document.  |
| <input checked="" type="checkbox"/> | other: Application Data Sheet .   |

If a CONTINUING APPLICATION, check appropriate box and supply the requisite informa-  
tion.☐ Continuation    ☐ Divisional    ☐ Continuation-in-part (CIP)

of prior application No. , filed .

- |                                     |   |
|-------------------------------------|---|
| <input checked="" type="checkbox"/> | Customer No. 000466.  |
| <input checked="" type="checkbox"/> | Correspondence address is: YOUNG & THOMPSON, 745 South 23rd Street,<br>Second Floor, Arlington, Virginia 22202. |
| <input checked="" type="checkbox"/> | Telephone: (703) 521-2297. Telefax: (703) 685-0573 or (703) 979-4709.   |

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**UTILITY PATENT APPLICATION TRANSMITTAL LETTER**  
(continued)

Docket No.  
PF-2695

**CLAIMS AS FILED**

	NO. FILED	NO. EXTRA	RATE	FEE
BASIC FEE			\$ 710	\$ 710
TOTAL CLAIMS	56 - 20 =	36	x\$ 18	648
INDEPENDENT CLAIMS	4 - 3 =	1	x\$ 80	80
MULTIPLE DEPENDENT CLAIM PRESENT			\$ 270	

**TOTAL** \$1,438.00

If applicant claims small entity status under  
37 CFR 1.27, then divide total fee by 2, and  
enter amount here.

**SMALL ENTITY  
TOTAL**

\$

<input checked="" type="checkbox"/>	A check in the amount of \$1,478 to cover the filing fee is enclosed.
<input checked="" type="checkbox"/>	The Commissioner is hereby authorized to charge indicated fees and credit any over- payments to Deposit Account No. 25-0120 in the name of Young & Thompson, as described below. A duplicate copy of this sheet is enclosed.
<input type="checkbox"/>	Charge the amount of \$ as filing fee.
<input checked="" type="checkbox"/>	Credit any overpayment.
<input checked="" type="checkbox"/>	Charge any additional fee required under 37 CFR 1.16 and 1.17, during the pendency of this application.
<input type="checkbox"/>	Charge the issue fee set in 37 CFR 1.18 at the mailing of the Notice of Allowance.



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November 20, 2000